

06-25-01

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Practitioner's Docket No. COS-01-001 (977-011)

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application
 Assistant Commissioner for Patents
 Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on June 22, 2001 under "EXPRESS MAIL" mailing label number **EL238635366US**.

Tammy S. Senecal
 Tammy S. Senecal

11000 U.S. PTO
 09/887804

06/22/01

Transmitted herewith for filing is the patent application of:

Inventor 1: Paul T. Schultz
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 Woodland Park, CO 80863

Inventor 2: Robert A. Sartini
 Address: 8902 Charity Drive
 Colorado Springs, CO 80920-7350

Title: **A SYSTEM AND METHOD FOR MULTI-MODAL AUTHENTICATION
 USING SPEAKER VERIFICATION**

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 15 Page(s) of Specification
13 Page(s) of Claims
1 Page(s) of Abstract
7 Sheets of Informal Drawings (Figs. 1-7)

B. Other Papers Enclosed

- ☒ A combined Declaration and Power of Attorney
☒ An Assignment Transmittal and Assignment of the invention to WorldCom, Inc.

2. Small Entity Status

- ☐ A statement that this filing is by a small entity is attached.
☐ A separate refund request accompanies this paper.
☐ was filed on _____ (original).

3. The filing fee has been calculated as shown below:

A. Filing Fee

☒ Original Patent Application
 (37 C.F.R. 1.16(a) --\$710.00, Small Entity--\$355.00)

\$ 710.00

The PTO did not receive the following listed item(s) 1 page missed ABSTra
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- B. Fees for Claims (68 Claims; 4 Indep.)
[X] each independent claim in excess of 3
(37 C.F.R. 1.16(b)--\$80.00; small entity--\$40.00) \$ 80.00
[X] each claim in excess of 20
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00) \$ 864.00
[] multiple dependent claim(s)
(37 C.F.R. 1.16(d)--\$270.00; small entity--\$135.00) \$.00
- C. Surcharge fees
[] late payment of filing fee
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00) \$ 0.00
and/or
[] late filing of original declaration or oath
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid 37 C.F.R. 1.16(e). \$.00
- D. [] Petition and fee for filing by other than all the inventors or a person not the inventor
(37 C.F.R. 1.17(i) and 1.47--\$130.00) \$.00
- E. [] Fee for processing an application filed with a specification in a non-English language
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00) \$.00
- F. [X] Assignment Fee \$ 40.00
- Total Fees Due \$ 1,694.00**

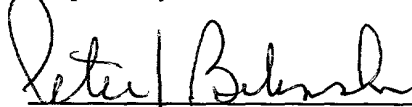
4. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

- [X] A check in the amount of \$1,694.00 is enclosed.
[X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289. A duplicate copy of this sheet is enclosed.
[X] Any additional filing fees required under 37 CFR 1.16.
[X] Any patent application processing fees under 37 CFR 1.17.

June 22, 2001

Date

Respectfully submitted,



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